



67-09-00
Express Mail No. EL 451 594 729 US
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Section #
#4

Application of: ADRIAN FREED et al.

Serial No.: 09/521,641

Art Unit: not assigned

Filed: March 8, 2000

Examiner: not assigned

For: APPARATUS AND METHOD OF
ADDITIVE SYNTHESIS OF
DIGITAL AUDIO SIGNALS USING
A RECURSIVE DIGITAL
OSCILLATOR

Attorney Docket No.:
9840-0039-999

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Initial Patent Examination Division
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application under 37 C.F.R.

§1.53(b) mailed May 10, 2000, attached please find:

1. Notice to File Miss Parts of Nonprovisional Application;
2. Petition for one-month Extension of Time;
3. 2 separate Declarations signed by the inventors;
4. a Verified Statement Claiming Small Entity Status;
5. a Power of Attorney By Assignee and Exclusion of Inventors; and
6. executed Assignment documents with Recordation Form Cover Sheet.

The fee for this response is estimated to be \$490.00 which includes the Small Entity Missing Parts surcharge, filing fee, and assignment recordation fee. The Commissioner is hereby authorized to charge the required fee or credit any overpayment to Pennie & Edmonds LLP Deposit Account No. 16-1150 (order no. 9840-039-999). This form is submitted in duplicate for such purpose.

Respectfully submitted,

PENNIE & EDMONDS LLP

By:

Gary S. Williams, Reg. 31,066 for
William S. Galliani, Reg. No. 33,885

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FORMALITIES LETTER



OC00000005107942

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/521,641	03/08/2000	Adrian Freed	9840-039-999

Pennie & Edmonds LLP
3300 Hillview Avenue
Palo Alto, CA 94304

Date Mailed: 05/10/2000



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/11/2000 CVORACHA 00000056 161150 09521641

01 FC:201 345.00 CH
02 FC:205 65.00 CH